



**UMESH KUMAR & ASSOCIATES**  
**COMPANY SECRETARIES**

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To,

The Board of Directors,  
Escorts Finance Limited  
SCO-64-65, Third Floor  
Sector-17-A  
Chandigarh-160 017

Dear Sirs,

**Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, I, hereby, confirm that I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Escorts Finance Limited** maintained by **M/s. Alankit Assignments Limited** pertaining to transfer of equity shares of the company for the period from **01/10/2018** to **31/03/2019** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, based on the revised Listing Agreement of which were entered into by **Escorts Finance Limited** with Bombay Stock Exchange (BSE) (The Stock Exchange) dated March 1, 2016 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ending on **31<sup>st</sup> March, 2019**:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **01/10/2018** to **31/03/2019** as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer from respective date of lodgment of each deed.
- B) There was no any case for sub-division, duplicate, renewal, exchange of certificates and endorsement for payment of call/ allotment money and further there was 5 requests for consolidation of 958 shares.

Place: New Delhi  
Date: 23.04.2019

For UMESH KUMAR & ASSOCIATES  
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**CS UMESH KUMAR**  
C.P No- 8361