

**Escorts Finance Limited**

15/5, Mathura Road, Faridabad-121003 (HR)  
Phone : 0129-2250222, 2564222  
E-mail : escortsfinance@escorts.co.in  
Website : www.escortsfinance.com  
CIN : L65910CH1987PLC033652



April 26, 2018

**BSE Limited**

Floor 25, P J Towers,  
Dalal Street,  
Mumbai – 400 001

**Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir/ Madam,

Please find enclosed herewith the Half Yearly Compliance Certificate for the period from October 1, 2017 to March 31, 2018 from Practicing Company Secretary under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and record.

Thanking You,

Yours' faithfully,

For Escorts Finance Limited



**Vicky Chauhan**  
**Company Secretary**

Encl: A/a



**UMESH KUMAR & ASSOCIATES**  
**COMPANY SECRETARIES**

Office No. 201, Sagar Plaza-II, 2nd Floor, Plot No. 27,  
Behind M2K Cinema, DDA Complex, Near Rani Bagh,  
Road No. 44, Pitampura, New Delhi-110034  
Ph.: +91-9990137410, +91-9999550383  
E-mail : csumesh07@gmail.com  
GST No. 07APOP1480P1Z7

To,

The Board of Directors,  
Escorts Finance Limited  
SCO-64-65, Third Floor  
Sector-17-A  
Chandigarh-160 017

Dear Sirs,

**Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

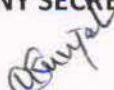
Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, I, hereby, confirm that I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Escorts Finance Limited** maintained by **M/s. Alankit Assignments Limited** pertaining to transfer of equity shares of the company for the period from **1/10/2017** to **31/03/2018** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, based on the revised Listing Agreement of which were entered into by **Escorts Finance Limited** with Bombay Stock Exchange (BSE) (The Stock Exchange) dated March 1, 2016 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ending on **31<sup>st</sup> March, 2018**:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **1/10/2017** to **31/03/2018** as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer from respective date of lodgment of each deed.
- B) There was no any case for sub-division, duplicate, renewal, consolidation, exchange of certificates and endorsement for payment of call/ allotment money.

Place: New Delhi  
Date: 26.04.2018

For **UMESH KUMAR & ASSOCIATES**  
**COMPANY SECRETARIES**



  
**CS UMESH KUMAR**  
**C.P No- 8361**